Plan Overview

A Data Management Plan created using DMPonline

Title: Guilt and shame amongst mentally unwell offenders - Legal Professionals

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Template: University of Manchester Generic Template

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Project abstract:

This study is part of a wider project to explore the role that guilt and shame play in psychiatric assessment and management of mentally unwell offenders. This arm of the project will focus specifically on the role that guilt and shame play in medico-legal reports submitted to mental health tribunals and criminal courts.

Feelings of guilt and shame play an important role in the assessment of mentally unwell offenders. Professionals ask about patients' feelings of guilt, shame and remorse in relation to their offence(s). Clinicians use the answers to these questions to form clinical judgements regarding diagnosis and risk. Clinicians then make decisions based on these assessments, that can have a profound influence on the lives of offenders.

There is extensive literature within psychiatry and psychology on the role of guilt and shame in psychopathology, personality and risk assessment. For example, the ICD-10 diagnostic criteria for dissocial personality disorder include an "incapacity to experience guilt". Proneness to experiencing guilt is considered a protective factor with respect to future recidivism, whereas shame is a risk factor. Such findings push towards particular treatment recommendations, such as psychological interventions that foster feelings of guilt (in relation to a past offence) without incurring shame.

Shame has also been explored by anthropologists and philosophers. These scholars have drawn links not just with trauma, adverse childhood experiences and offences, but also with class, gender and ethnicity. How clinicians perceive, ask about and understand the shame felt by their patients is much less studied.

I will conduct semi-structured interviews with legal professionals who whose work involves reading medicolegal reports based on mentally unwell offenders. I will then conduct discourse analysis on the content of the interviews.

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Guilt and shame amongst mentally unwell offenders - Legal Professionals

Manchester Data Management Outline

1. Will this project be reviewed by any of the following bodies (please select all that apply)?

- Funder
- Ethics

2. Is The University of Manchester collaborating with other institutions on this project?

- No - only institution involved

3. What data will you use in this project (please select all that apply)?

- Acquire new data

4. Where will the data be stored and backed-up during the project lifetime?

- University of Manchester Research Data Storage Service (Isilon)

5. If you will be using Research Data Storage, how much storage will you require?

- 1 - 8 TB

6. Are you going to be receiving data from, or sharing data with an external third party?

- Yes

I will use a university-approved service for interview transcription.

7. How long do you intend to keep your data for after the end of your project (in years)?

- 5 - 10 years

Guidance for questions 8 to 13

Highly restricted information defined in the Information security classification, ownership and secure information handling SOP is information that requires enhanced security as unauthorised disclosure could cause significant harm to individuals or to the University and its ambitions in respect of its purpose, vision and values. This could be: information that is subject to export controls; valuable intellectual property; security sensitive material or research in key industrial fields at particular risk of being targeted by foreign states. See more examples of highly restricted

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If you are using 'Very Sensitive' information as defined by the Information Security Classification, Ownerships and Secure Information Handling SOP, please consult the Information Governance Office for guidance.

Personal information, also known as personal data, relates to identifiable living individuals. Personal data is classed as special category personal data if it includes any of the following types of information about an identifiable living individual: racial or ethnic origin; political opinions; religious or similar philosophical beliefs; trade union membership; genetic data; biometric data; health data; sexual life; sexual orientation.

Please note that in line with data protection law (the UK General Data Protection Regulation and Data Protection Act 2018), personal information should only be stored in an identifiable form for as long as is necessary for the project; it should be pseudonymised (partially de-identified) and/or anonymised (completely de—identified) as soon as practically possible. You must obtain the appropriate ethical approval in order to use identifiable personal data.

8. What type of information will you be processing (please select all that apply)?

- Personal information, including signed consent forms
- Anonymised personal data
- Pseudonymised personal data
- Audio and/or video recordings

9. How do you plan to store, protect and ensure confidentiality of any highly restricted data or personal data (please select all that apply)?

- Store data in buildings, rooms or filing cabinets with controlled access
- Store data on University of Manchester approved and securely backed up servers or computers
- Store data in encrypted files, folders, computers or devices
- Pseudonymise data and apply secure key management procedures
- Where needed, follow University of Manchester guidelines for disposing of personal data
- Access data hosted by the University of Manchester via its secure Virtual Private Network (VPN)

10. If you are storing personal information (including contact details) will you need to keep it beyond the end of the project?

- Yes – Other

This study is part of a pilot project for a wider project on guilt and shame amongst mentally unwell offenders. Therefore it would be helpful to be able to contact participants after the end of this part of the project.

11. Will the participants' information (personal and/or sensitive) be shared with or accessed by anyone outside of the University of Manchester?

- No

12. If you will be sharing personal information outside of the University of Manchester will the individual or organisation you are sharing with be outside the EEA?

- Not applicable

13. Are you planning to use the personal information for future purposes such as research?

- No

14. Will this project use innovative technologies to collect or process data?

- No

15. Who will act as the data custodian for this study, and so be responsible for the information involved?

Piyush Pushkar

16. Please provide the date on which this plan was last reviewed (dd/mm/yyyy).

2023-02-02

Project details

What is the purpose of your research project?

In this arm of a wider project, I will investigate the role that guilt and shame play in medico-legal reports submitted to mental health tribunals and criminal courts.

I am interested in the findings of this particular study in their own right. However, the study will also function as a pilot study for the wider project, which explores the role that guilt and shame play in psychiatric assessment and management of mentally unwell offenders. Therefore, this study's findings will inform the research design of that larger project.

What policies and guidelines on data management, data sharing, and data security are relevant to your research project?

The University of Manchester Research Data Management Policy

Responsibilities and Resources

Who will be responsible for data management?

Piyush Pushkar

What resources will you require to deliver your plan?

No new hardware is required
Transcription costs for a university-approved transcription service

Data Collection

What data will you collect or create?

Interview recordings and transcripts

How will the data be collected or created?

Recordings during interviews
Transcription from university=approved service

Documentation and Metadata

What documentation and metadata will accompany the data?

Personal information regarding the interview participants will be pseudonymised and kept separately from the interview recordings and transcripts.

Ethics and Legal Compliance

How will you manage any ethical issues?

Data will be pseudonymised.
I will apply for UREC approval.
Participants will be given an information sheet and asked to sign a consent form if they do consent to partipation.

How will you manage copyright and Intellectual Property Rights (IPR) issues?

I will own copyright and IPR of the data generated and subsequent publications based on analysis of the data.

Storage and backup

How will the data be stored and backed up?

Data will be held on the university's secure shared drive.

How will you manage access and security?

Data will not be shared with anyone other than the university-approved transcription service. Data will be pseudonymised when it is transferred from the recording device to the shared drive.

Selection and Preservation

Which data should be retained, shared, and/or preserved?

Pseudonymised recordings and transcriptions will be kept for 10 years. Contact information for the participants will also be kept for 10 years. This time period wil allow for the findings of this pilot study to feed into the design, planning, conduct and analysis of future studies that are part of the wider project on guilt and shame amongst mentally unwell offenders.

What is the long-term preservation plan for the dataset?

Long-term preservation will not take place for this data. It will be deleted after 10 years.

Data Sharing

How will you share the data?

Data will not be shared, except with a university-approved transcription service. Recordings will be pseudonymised and encrypted before transfer to the transcription service.

Are any restrictions on data sharing required?

No restrictions